

## SUMMARY

- National practice founder, leader and marketer for an independent consulting firm
- Executive level consultant and administrator with over 35 years of experience
- Expert in large group management, complex transactions and high volume processes
- Former appointive chief executive officer managing several regulatory receiverships
- Former chief financial officer for \$4 billion of assets, 100 offices, 125 persons
- Former big-four accountant with audit, supervisory and training experience

## EXPERIENCE

2008 - Present

**Managing Director:** *Axxion Administration LLC*

Firm founder and Managing Director of a national claims practice with overall practice management and marketing responsibilities. Chief marketing representative and management oversight of an independent consulting firm providing sophisticated, cost-effective, nationwide class action, mass tort, bankruptcy and other claims consultative and administrative services throughout the U.S. Specialties include pension, wage-and-hour, medical, chemical, pharmaceutical, real estate, right-of-way, lending, underwriting, insurance and product liability matters. Services range from settlement agreement consultation, media placement, notice mailing, customer call center operation, claims receipt, claims validation, benefit computation, claims payment, tax reporting and reporting to court and counsel. Generally regarded as one of the nation's leading professional providers of claims related consultancy with experience on engagements ranging to \$1 billion in claims paid and up to 11 million persons in putative class size. Practice focus post-adversarial role of professional management of large claims populations involving complex matters and requiring sophisticated data processing system support.

2002 - 2008

**Managing Director:** *LECG Claims Services; LECG, LLC*

Original practice founder and leader, and Managing Director, of a national claims practice with overall practice management and marketing responsibilities. Manager of the Dallas office of a leading international legal and economic consulting firm providing sophisticated economic and financial analysis, expert testimony and litigation support services on four continents. Specialize in providing cost-effective claims consultative and administrative services in the U.S. and Canada regarding class action, mass tort and bankruptcy claims through professional and paraprofessional staff employed in two offices each supporting nationwide practices. Specialties include pension, medical, chemical, pharmaceutical, real estate, right-of-way, lending, underwriting, insurance and product liability matters. Services identical to those presently provided.

1991 - 2002

**Managing Director:** *Peterson Consulting Claims Services; NCI, Inc.*

Manager Director and member of the firm. National claims practice founder and leader of the Dallas and Toronto offices of a closely-held international litigation support firm. Expertise in the management of claims centers, corporations, holding companies, financial institutions, subsidiary corporations and consulting engagements. Chief representative for marketing services: overall responsibility for administration of the office and personnel.

Chief clientele are attorneys requiring assistance in the administration of class action settlements. Special projects include continuing contracts to assist a federal agency in the closing of insolvent financial institutions and to provide the management of subsidiaries of such institutions. Prior engagements included a regional center processing \$27M of claims for multiple entities involving the maintenance of subsidiary systems of record and performing monthly detailed general ledger reconciliations for up to 100 entities. Earlier engagements also include the management of 80 accountants for a regional centralized accounting center; and the management of data processing system conversions. Experience includes serving as CEO, under appointment by a federal agency,

responsible for overall administration and management of all staff, operations, financial administration, data processing and reporting for a series of four financial institutions receiverships ranging from \$100 million to \$1 billion.

Administered and managed portfolios of corporate financial assets ranging to \$450M. Skills include administration of all finances and operations of a major consulting office including recruitment, training and retention of professional and clerical staff. Other skills include similar administration of large corporations and financial institutions; administration of portfolios of corporate financial assets ranging to \$450 million; organization and administration of complex accounting; conversion of general ledger and subsidiary data processing systems; supervision of cash processing, financial reporting and high-volume reconciliation operations. Experience in negotiation and representation with respect to financial institutions, debtors and creditors; management and disposition of assets; and interface with legal counsel.

1985 - 1990

**Financial Consultant:** *Independent*

Consultant specializing in financial institution consulting, mortgage financing, secondary marketing, loan restructuring, debt modification and property disposition. Broad success in numerous engagements from \$3 to \$35M; restructured over \$250M in real estate loans; disposed of \$35M in foreclosed properties; consulted in the acquisition of \$30M in whole loans.

1976 – 1985

**Chief Financial Officer:** *University Savings Association*

Chief Financial Officer and Senior Vice President responsible for all the financial affairs of a \$4 billion publicly-traded financial institution with over 100 branches in 20 cities; a member institution of the Federal Reserve Bank System. Shepherded the firm through five acquisitions, six computer conversions, and the issuance of public collateralized mortgage obligations. Gained experience in mergers and acquisitions, tender offers, stock issues, public debt issues, SEC filings and rating agency interface.

Supervised a staff of 125, including the Controller and the Treasurer; reported directly to the President and Board of Directors. Individually responsible for all the company's financial affairs including accounting, internal auditing and SEC filings. Administered the accounting and monthly consolidation of four subsidiaries engaged in real estate development, mortgage lending, insurance and data processing. Served on the Boards of Directors of the mortgage and EDP subsidiaries.

Managed the areas of real estate accounting, internal audit, payroll, purchasing, communications, maintenance and facilities. Instrumental in the design and implementation of new financial instruments, computer enhancements, product pricing, real estate programs and lending strategies.

1973 – 1976

**Supervising Senior Auditor/CPA:** *KPMG Peat Marwick*

Supervising Senior Auditor with the then-largest of the big-five CPA firms: Peat Marwick was the nationally-recognized expert in banking. Supervised various teams of professionals on numerous audits, specializing in banks, thrifts and mortgage companies. Acquired in-depth experience in mortgage financing, institutional and real estate accounting, underwriting and evaluation of collateral, and computer applications in accounting. Firm instructor in Savings and Loan auditing, and specialist in the review and audit of computer conversions and in performing fraud exams.

**EDUCATION**

BBA, Accounting and Mathematics, University of Houston, 1973

**PROFESSIONAL**

Beta Alpha Psi, National Accounting Honor Fraternity  
KPMG Peat Marwick Instructor for Savings and Loan Auditing  
Member of Mensa Society  
Former Chairman of the Texas S&L League Financial Officers' Committee  
Former Director of a mortgage company  
Former Director of an EDP company  
Former Director and Executive Committee member of three banks  
Former frequent lecturer and legislative speaker for the Texas S&L League